Lecture 8 Public-Key Encryption I

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version 1.1

Plan

- 1. Problems with the "handbook RSA"
- 2. Definition of the **CPA security**
- 3. Constructions of **CPA-secure RSA** encryption schemes
 - 1. theoretical
 - 2. practical
- 4. The **hybrid encryption** and the **KEM/DEM** paradigm
- 5. Definition of the **CCA security**
- 6. Constructions of **CCA-secure** symmetric encryption
- 7. Constructions of **CCA-secure RSA**
 - encryption schemes

"Handbook RSA" encryption

Take Z_N^* (where N = pq and p, q are two distinct odd primes), defined as follows:

 $e \leftarrow Z_{\varphi(N)}^*$ $d = e^{-1} \mod \varphi(N)$ Let pk = (N, e) and sk = (N, d)

Handbook RSA encryption scheme:

messages and ciphertexts: Z_N

- $\operatorname{Enc}_{N,e}(m) = m^e \mod N$
- $\operatorname{Dec}_{N,d}(c) = c^d \mod N$

Is it secure?

Issues with the "handbook RSA"

- 1. It is **deterministic**.
- 2. It has some "algebraic properties".
- 3. It is defined over Z_N^* and not over Z_N .

this is not really a problem (exercise)

Algebraic properties of RSA

1. RSA is homomorphic: $RSA_{e,N}(m_0 \cdot m_1) = (m_0 \cdot m_1)^e$

$$= m_0^e \cdot m_1^e$$
$$= \text{RSA}_{e,N}(m_1) \cdot \text{RSA}_{e,N}(m_2)$$

why is it bad?

By checking if $c = c_0 \cdot c_1$ the adversary can check if the messages m, m_0, m_1 corresponding to c, c_0, c_1 satisfy:

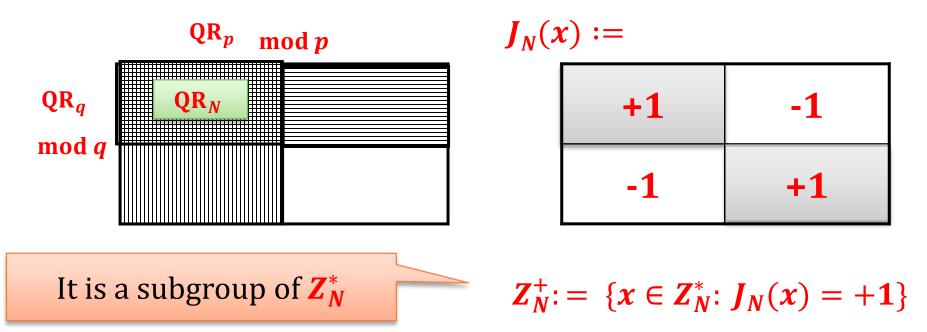
 $m = m_0 \cdot m_1$

2. The Jacobi symbol leaks.

Jacobi Symbol (from the last lecture)

for any prime **p** define $J_p(x) := \begin{cases} +1 & \text{if } x \in QR_p \\ -1 & \text{otherwise} \end{cases}$

for N = pq define $J_N(x) := J_p(x) \cdot J_q(x)$



Jacobi symbol can be computed efficiently! (even in *p* and *q* are unknown)

Fact: the **RSA** function "preserves" the **Jacobi symbol**

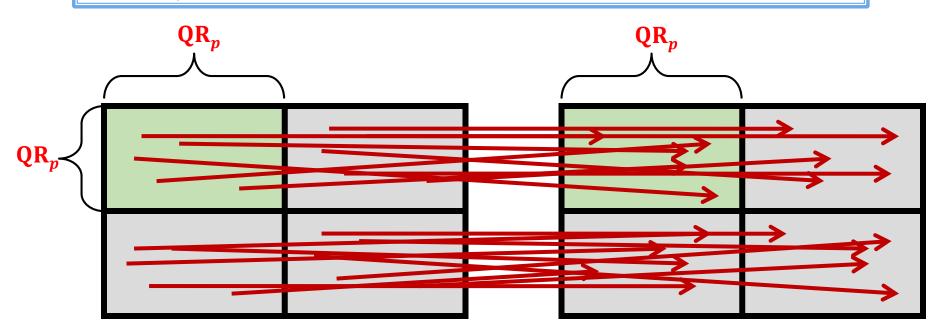
N = pq - RSA modulus

e is such that $e \perp \varphi(N)$

$$J_N(x) = J_N(x^e \mod N)$$

Actually, something even stronger holds:

RSA_{*N*,*e*} is a permutation on each "quarter" of Z_N^*

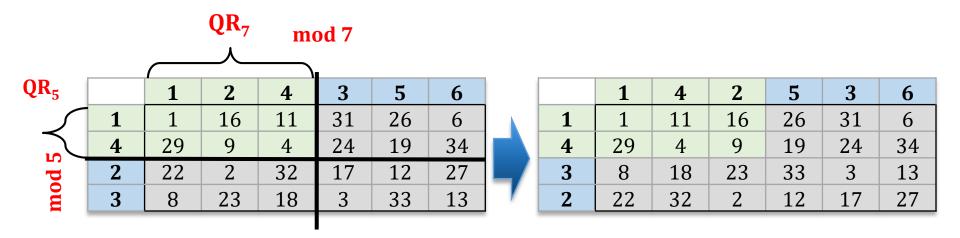


In other words:

- $m \mod p \in QR_p \inf m^e \mod p \in QR_p$
- $m \mod q \in QR_q^{\uparrow} \inf m^e \mod q \in QR_q^{\uparrow}$



We calculate $RSA_{23,35}(m) = m^{23} \mod 35$



How to prove it?

By the **CRT** and by the fact that **p** and **q** are symmetric it is enough to show that

m is a QR_p iff *m^e* is a QR_p

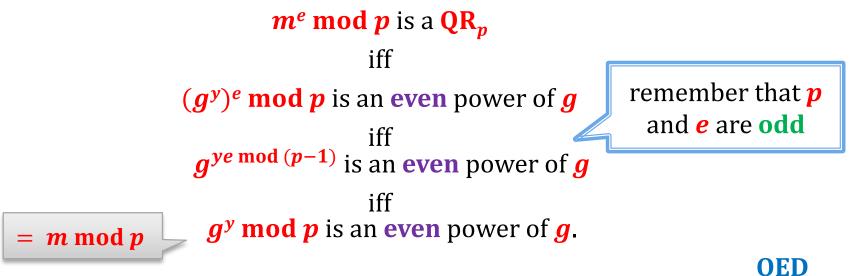
Fact

For an odd *e*:

m^e mod p is a QR_p iff m mod p is a QR_p

Proof:

Let g be the generator of Z_p^* . Let y be such that $m = g^y$. Recall that x is a QR_p iff x is an even power of gWe have that



Conclusion

The Jacobi symbol "leaks", i.e.: from *c* one can compute $J_N(\text{Dec}_{N,d}(c))$ (without knowing the factorization of *N*)

Is it a big problem?

Depends on the application...

Plan for today

- 1. Provide a formal security definition of public key encryption.
- 2. Modify **RSA** so that it is secure according to this definition.

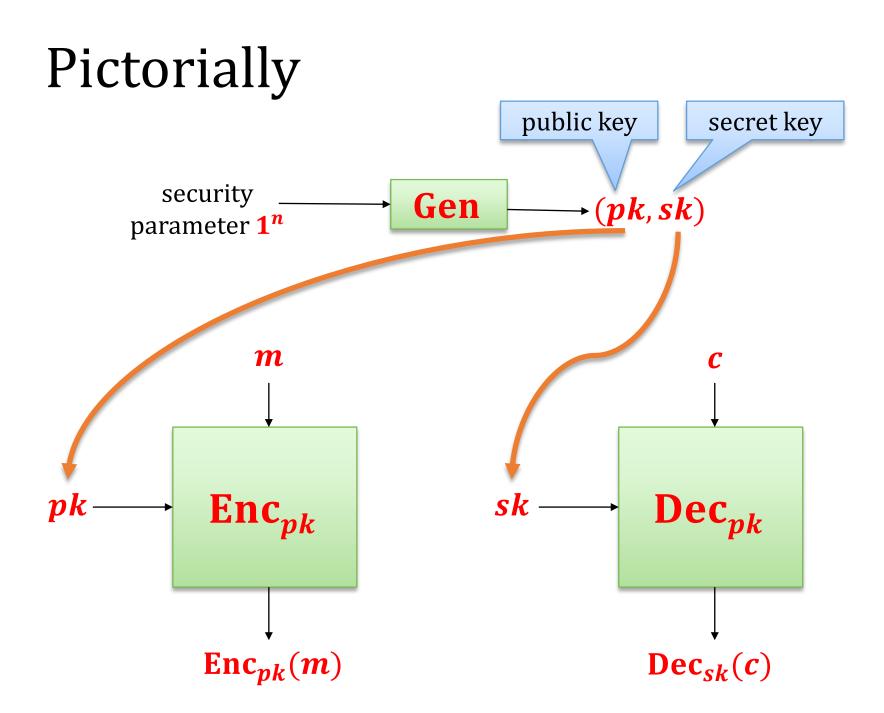
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A mathematical view

- A **public-key encryption (PKE)** scheme is a triple **(Gen, Enc, Dec)** of poly-time algorithms, where
- Gen is a key-generation randomized algorithm that takes as input a security parameter 1ⁿ and outputs a key pair (*pk*, *sk*) ∈ ({0, 1}*)².
- Enc is an encryption algorithm that takes as input the public key pk and a message m (from some set that may depend on pk), and outputs a ciphertext c,
- Dec is a decryption algorithm that takes as input the private key sk and the ciphertext c, and outputs a message m' ∈ {0, 1}* ∪ {⊥}.

We will sometimes write $\operatorname{Enc}_{pk}(m)$ and $\operatorname{Dec}_{sk}(c)$ instead of $\operatorname{Enc}(pk,m)$ and $\operatorname{Dec}(sk,c)$.



Correctness

We will require that it always holds that

 $P(\text{Dec}_{sk}(\text{Enc}_{pk}(m)) \neq m)$ is negligible in n

assuming that:

- $(pk, sk) \leftarrow \text{Gen}(1^n)$
- and *m* is a "legal" plaintext for *pk*.

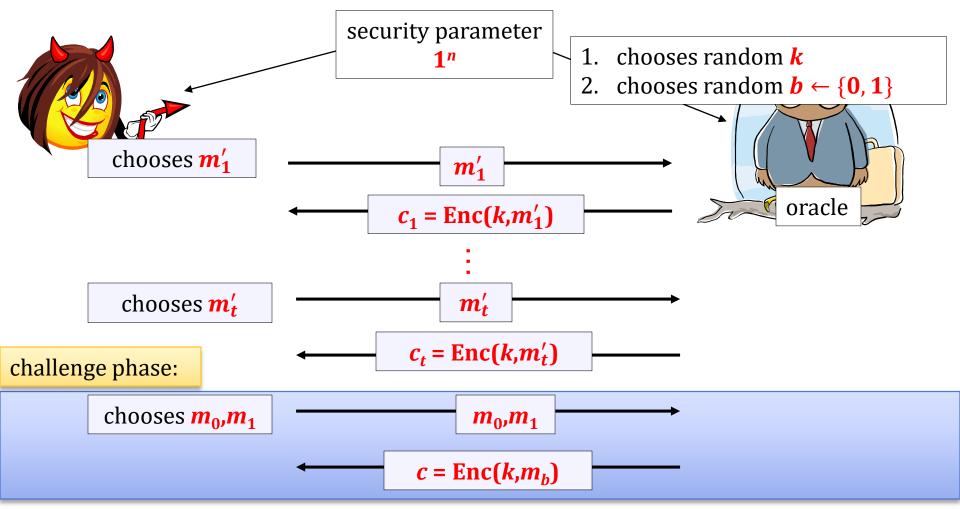
The security definition

Remember the symmetric-key case?

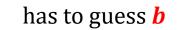
We considered a **chosen-plaintext attack**.

How would it look in the case of the **public-key encryption**?

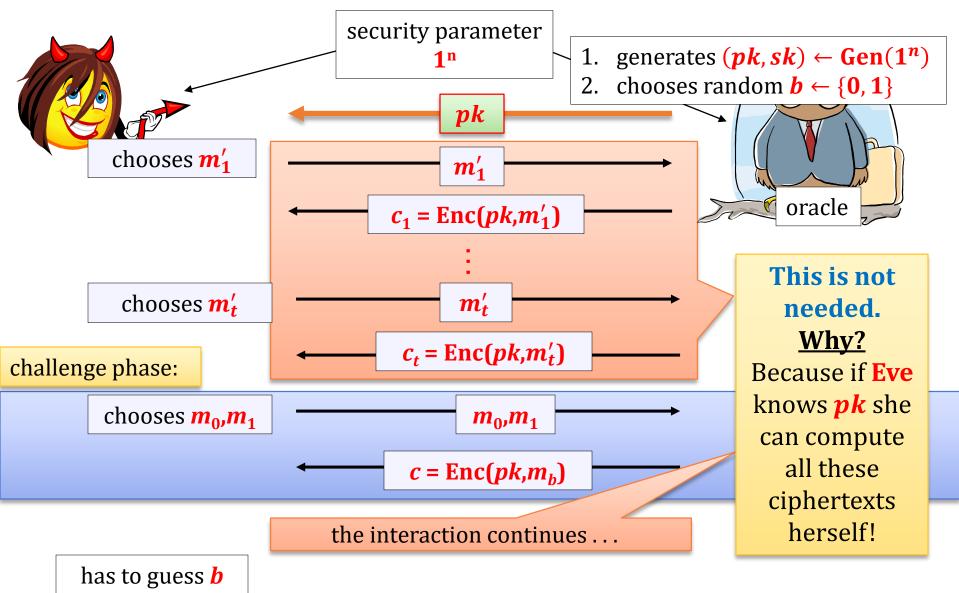
CPA in the **symmetric** settings



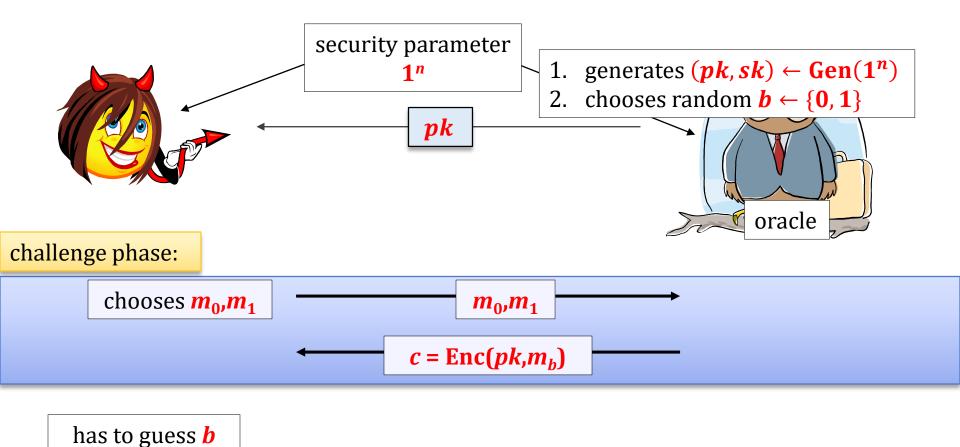
the interaction continues . . .



CPA in the **asymmetric** settings



The game after simplifications



CPA-security

Alternative name: CPA-secure

Security definition:

We say that (Gen, Enc, Dec) has indistinguishable encryptions under a chosen-plaintext attack (CPA) if any

randomized polynomial time adversary

guesses **b** correctly

with probability at most $1/2 + \varepsilon(n)$, where ε is negligible.

Is the "handbook RSA" CPA-secure?

N = pq, such that p and q are random primes, and |p| = |q|e - random such that $e \perp (p-1)(q-1)$ d - random such that $ed = 1 \pmod{(p-1)(q-1)}$ $pk := (N, e) \quad sk := (N, d)$ $\operatorname{Enc}_{pk}(m) = m^e \mod N$. $\operatorname{Dec}_{sk}(c) = c^d \mod N$.

Not CPA-secure!

In fact: no deterministic encryption scheme is secure.

How can the adversary win the game?

- 1. he chooses any m_0, m_1 ,
- 2. computes $c_0 = \text{Enc}_{pk}(m_0)$ himself
- 3. compares the result.

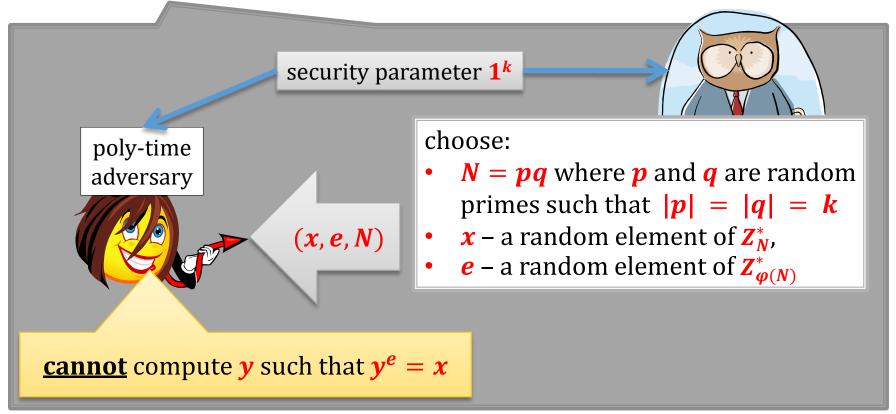
Moral: encryption has to be randomized.

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CPA-secure encryption from the **RSA** assumption

We now show how to construct a provably secure encryption scheme whose security is based on the **RSA assumption**.



Outline of the construction

- 1. We prove that the **least significant bit** is a **hard to compute** for **RSA**.
- 2. We show how to "encrypt using this bit"

RSA hardcore bit

Question: does **RSA** have a bit that is for sure well-hidden?

Answer: if **RSA assumption** doesn't hold, then: **no**.

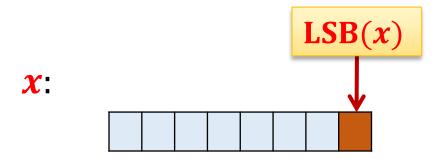
But what if it holds?

Answer: yes – the least significant bit of the argument is hard to compute.

Notation

For an integer \boldsymbol{x} we will write $\mathbf{LSB}(\boldsymbol{x})$

to denote the least significant bit of **x**.



In other words: $LSB(x) = x \mod 2$

Fact (informally)

LSB is the "hardest bit to compute" in **RSA**.

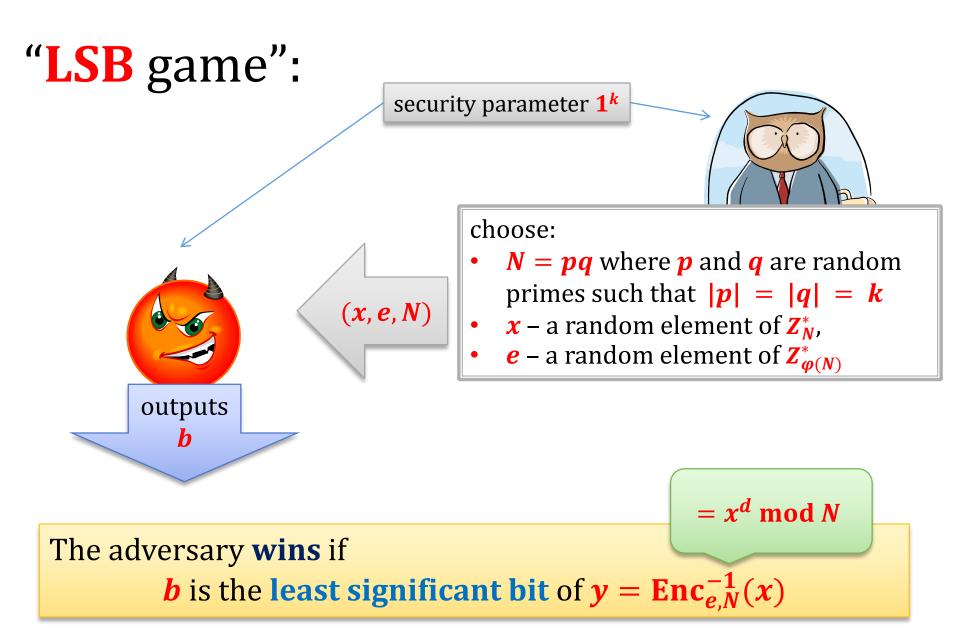
(it is called a "hard-core bit").

More precisely:

If you can compute LSB then you can invert RSA.

Note:

In some sense it is a "dual" predicate to Jacobi symbol...



Theorem

Suppose the **RSA assumption** holds. Then every poly-time adversary wins **Game 2** with a probability at most

$0.5 + \varepsilon(k)$

where *ɛ* is negligible.

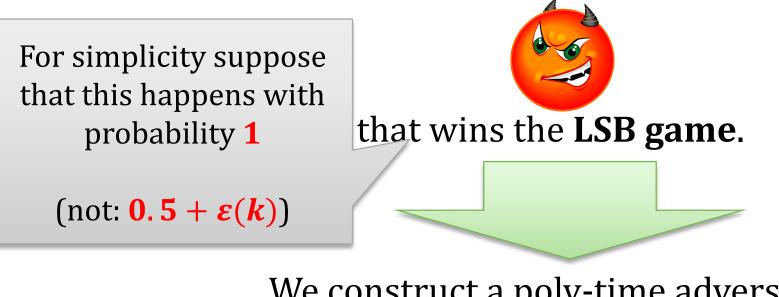
W. Alexi, B. Chor, O. Goldreich, and C.P. Schnorr <u>On the hardness of the least-signficant bits of the RSA and Rabin functions</u>, 1984

In other words:

The least significant bit is a hard-core bit for RSA.

Proof strategy

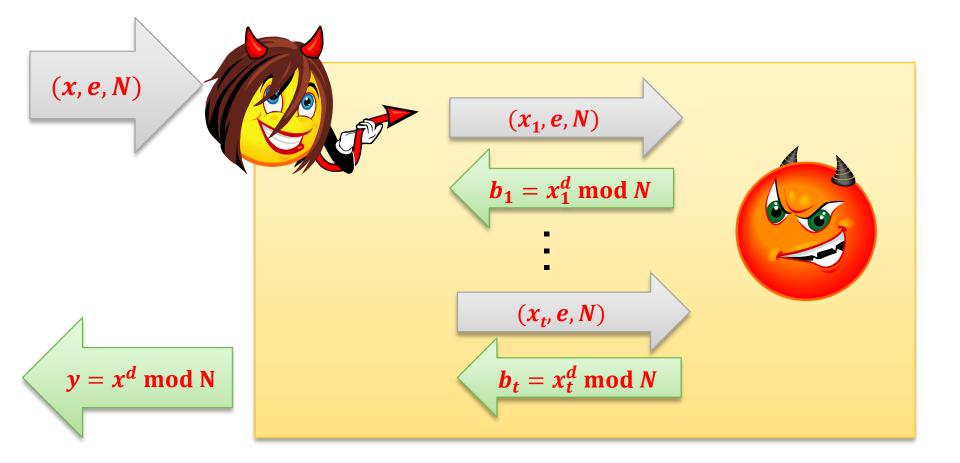
Suppose we are given a poly-time adversary



We construct a poly-time adversary

that breaks the **RSA assumption**.

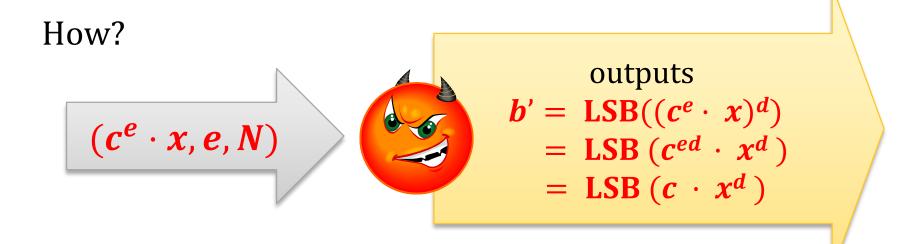
Outline of the construction



Observation



can also be used to compute (for any $c \in Z_N^*$) LSB of $c \cdot x^d \mod N$.



The method

Let $y \coloneqq x^d \mod N$

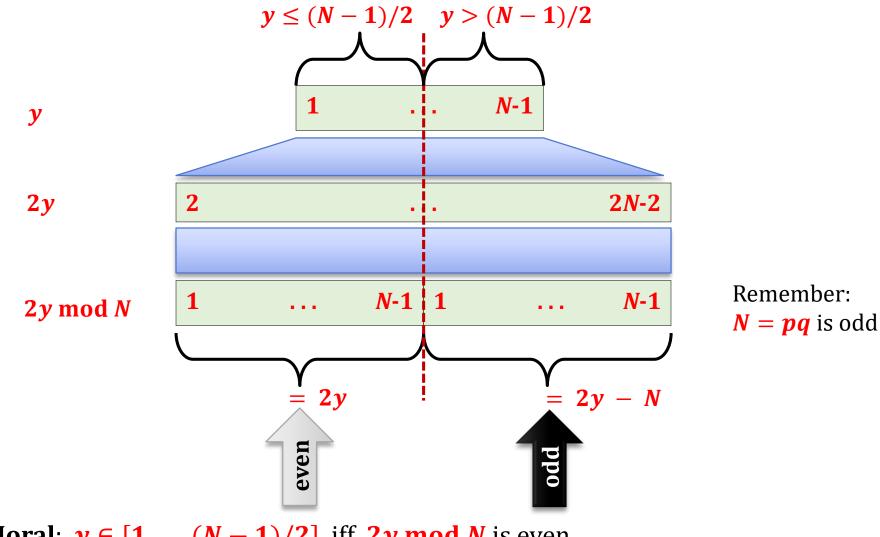


compute:

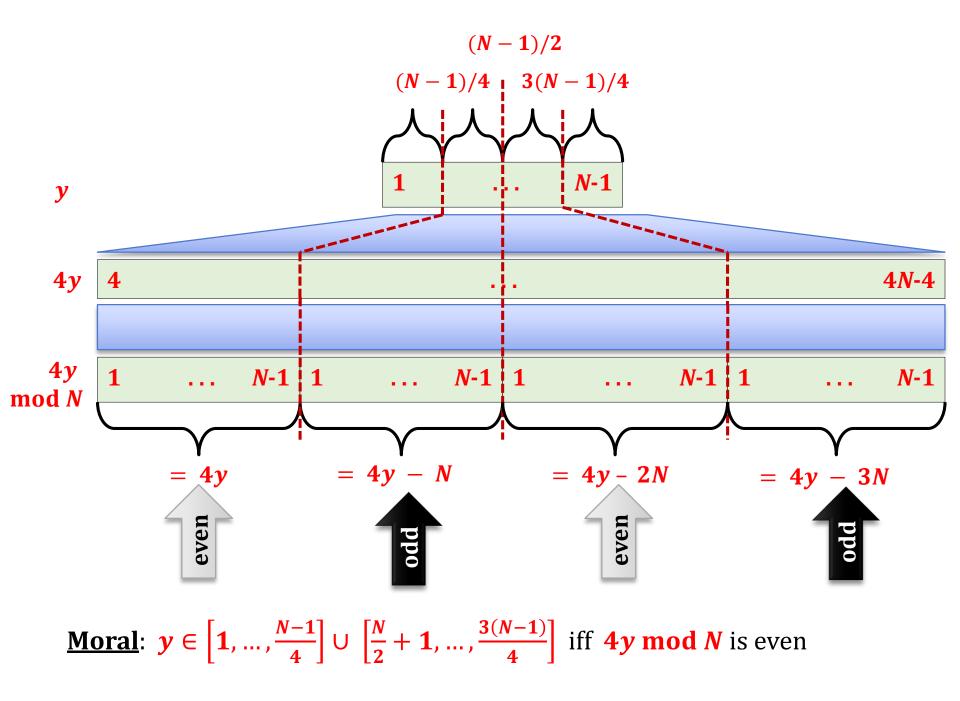
- LSB(2y)
- LSB(4y)
- LSB(8y)
 - :
 - •

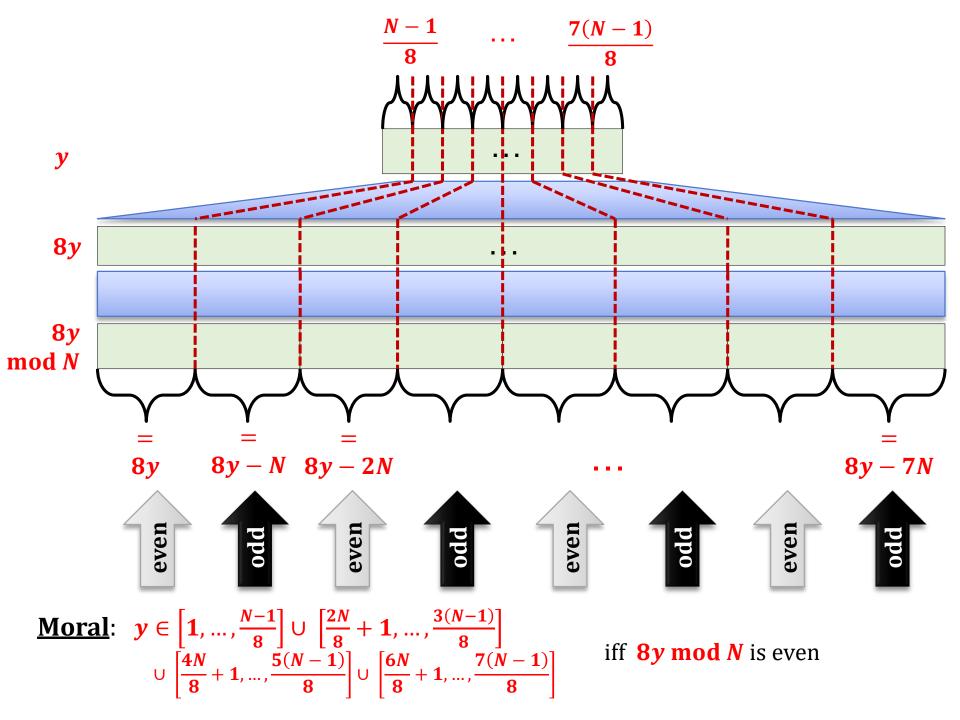
Why is it useful?

Observation

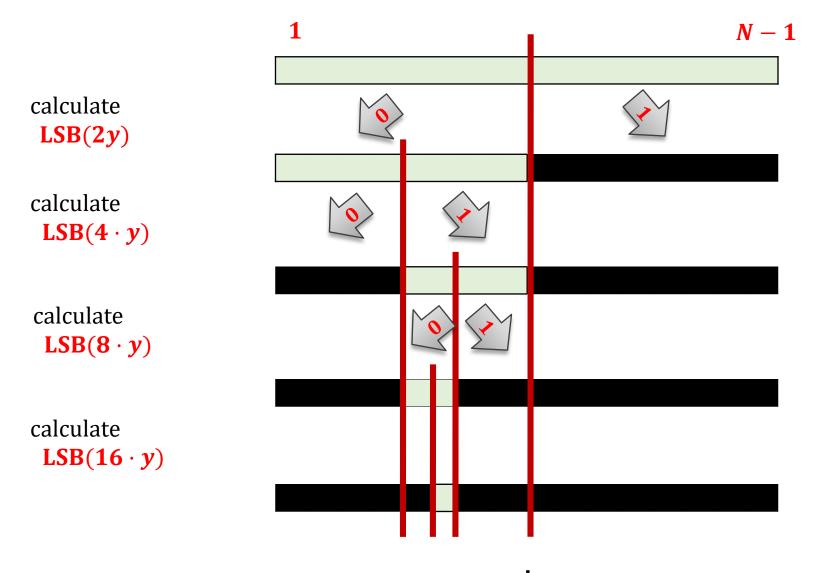


<u>Moral</u>: $y \in [1, ..., (N-1)/2]$ iff $2y \mod N$ is even





So we can use bisection



QED

Why is it interesting?

We can encrypt **one bit messages** as follows:

(*N*, *e*) – public key (*N*, *d*) – private key

 $Enc_{e,N}(b) = (LSB(y) \oplus b, y^e)$ (where $y \leftarrow Z_N^*$)

$$\operatorname{Dec}_{d,N}(c,x) = \operatorname{LSB}(x^d) \oplus c$$

This is secure **under the RSA assumption**

How to extend it to longer messages?

Encrypt **bit-by-bit**:

 $Dec_{d,N}((c_1, \dots, c_k), (x_1, \dots, x_k)) =$ $(LSB(x_1^d) \oplus c_1, \dots, LSB(x_k^d) \oplus c_k)$

Lemma

Assume that the **RSA assumption holds**. Then the encryption scheme from the previous slide is **CPA-secure**.

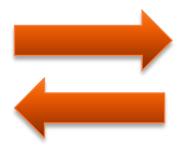
Proof: exercise

Conclusion

Advantage:

Security of this scheme is implied by the RSA assumption.

RSA assumption holds



the public-key encryption scheme that we just constructed is secure

Disadvantage:

The ciphertext is much longer than the plaintext. It is a rather theoretical construction!

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Encoding (also called: "padding")

Before encrypting a message we usually **encode it** (adding some randomness).

This has the following advantages:

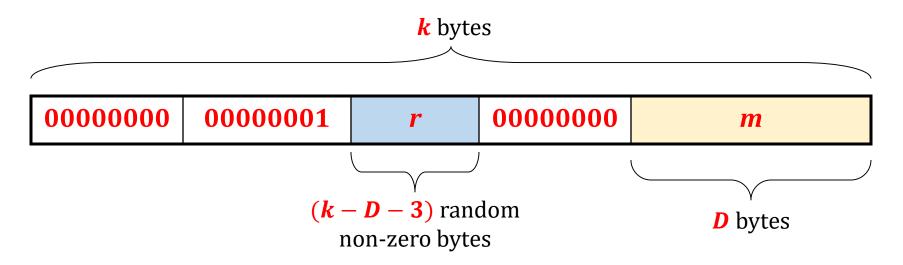
- it makes the encryption **non-deterministic**
- it **breaks the "algebraic properties"** of encryption.

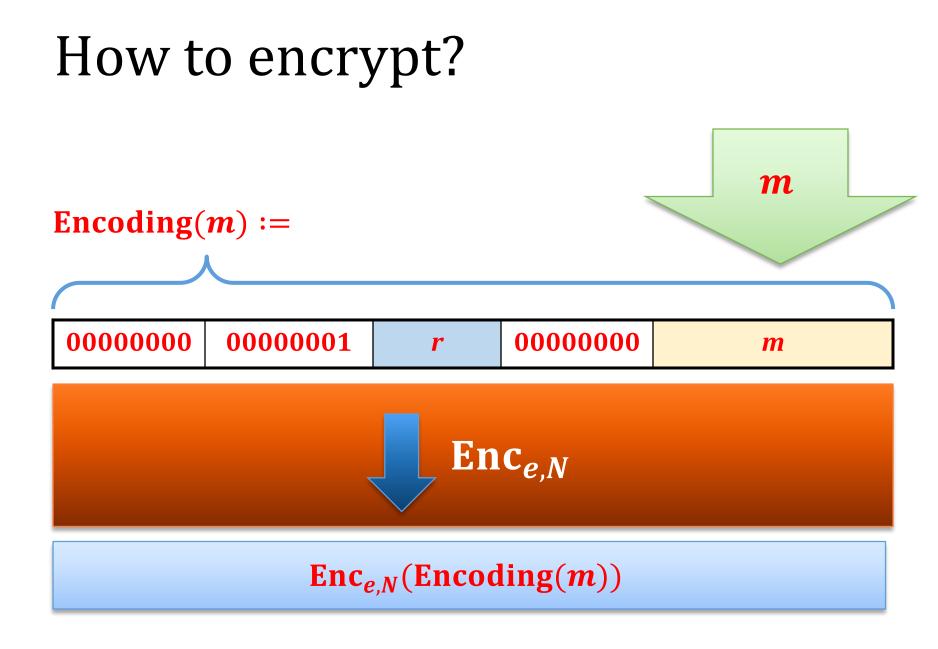
How is it done in real-life?

PKCS #1: RSA Encryption Standard Version 1.5:

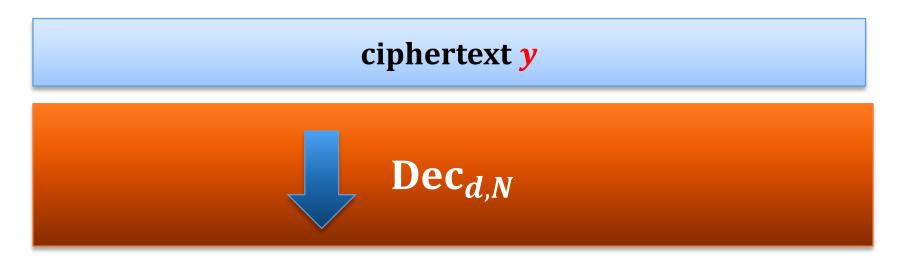
public-key: (N, e) k := length on N in bytes. D := length of the plaintextrequirement: $D \leq k - 11$.

 $\operatorname{Enc}((N, e), m) := x^e \mod N$, where x is equal to:

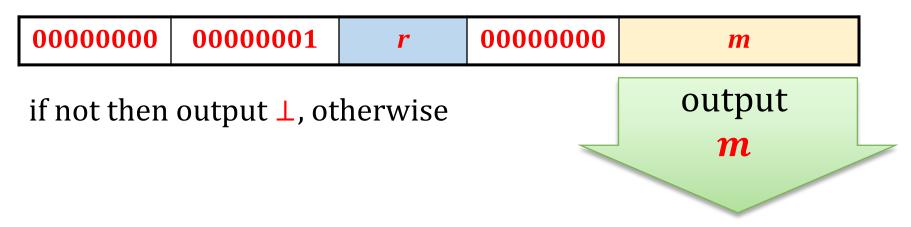




How to decrypt?



check if the format agrees....





If the adversary can calculate the Jacobi symbol of

0000000 0000001	r	0000000	m
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most probably it doesn't help him in learning any information about *m*...

Security of the PKCS #1: RSA Encryption Standard Version 1.5 – security

It is **believed** to be **CPA-secure**.

(as we will later learn: it's not "CCA-secure")

Plan

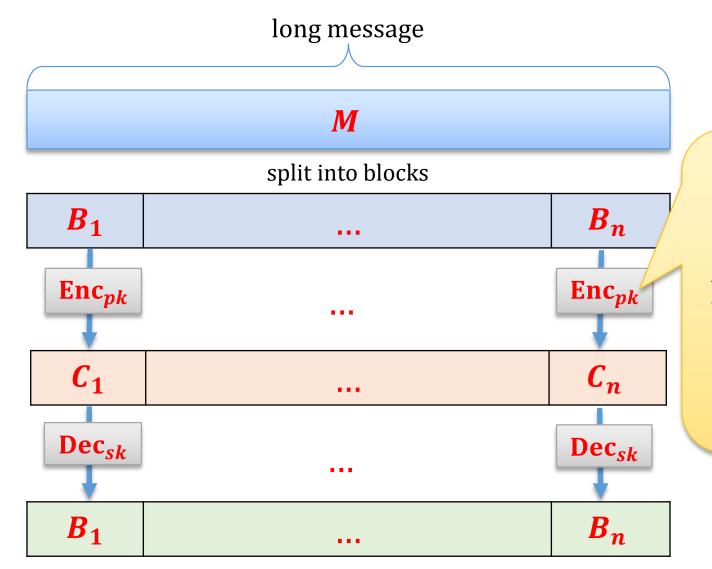
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How to encrypt longer messages?

Two options:

- 1. divide the message in blocks and **encrypt each block separately**.
- 2. combine the **public-key encryption with the private-key encryption**.

Encrypting block-by-block



note: this is randomized, so we don't have the same problem as with the ECB mode

A problem with this solution

It's rather inefficient (the number of public-key operations is proportional to |M|)

A more efficient solution:

hybrid encryption

Ingredients for the hybrid encryption

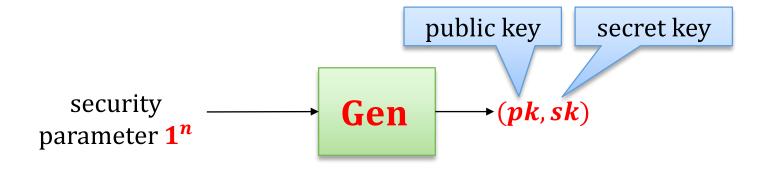
- (Gen, Enc, Dec) a public key encryption scheme
- (Enc', Dec') a private key encryption scheme

Main idea:

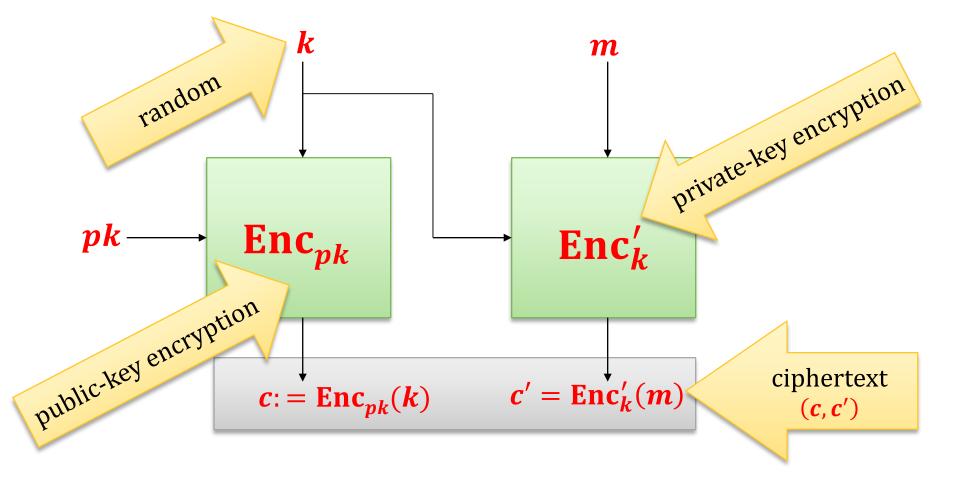
Encrypt the symmetric key with a public-key encryption scheme.

Key generation

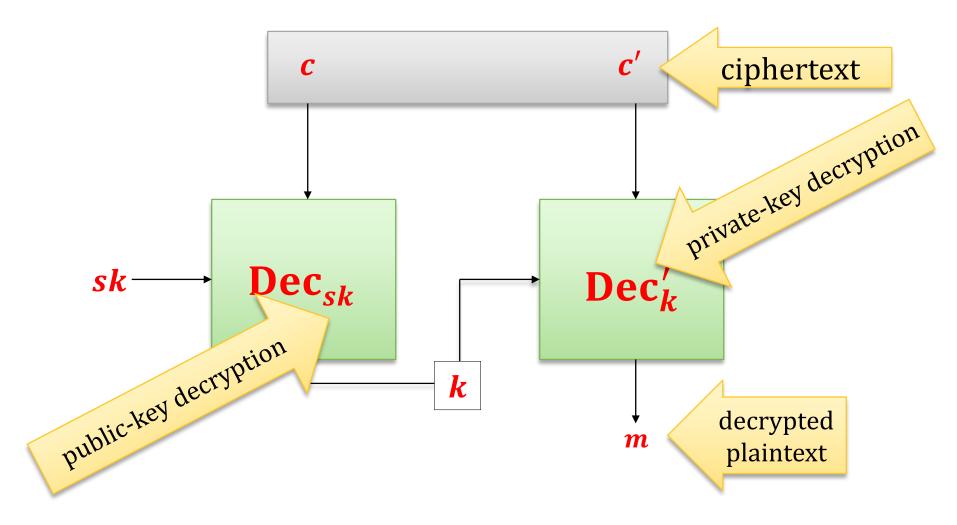
The same as in the public-key scheme:



How to encrypt?



How to decrypt?

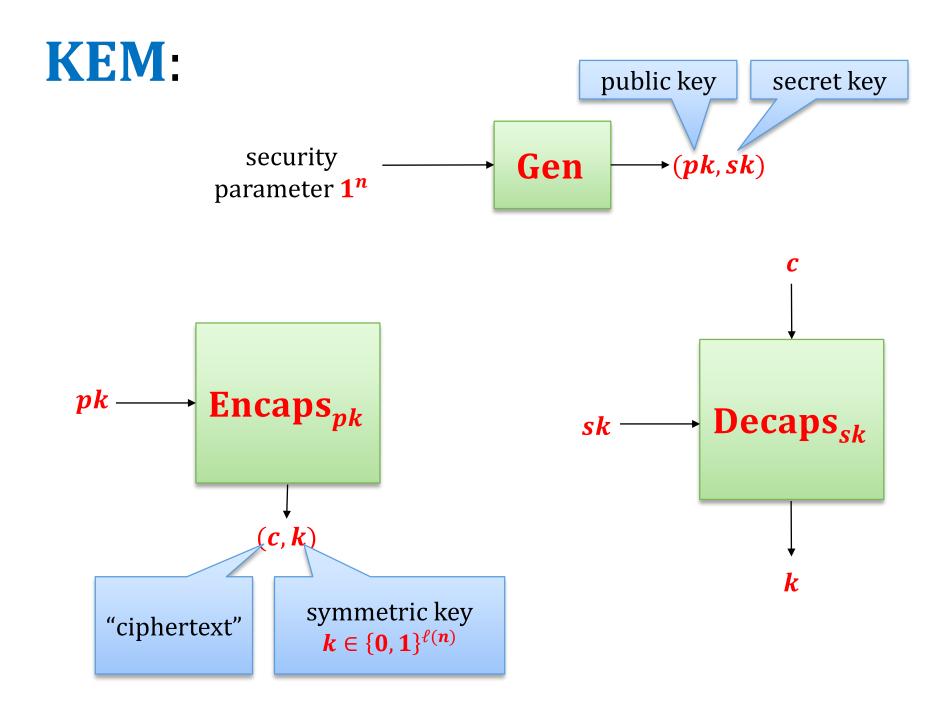


A more direct method: the **KEM/DEM** paradigm

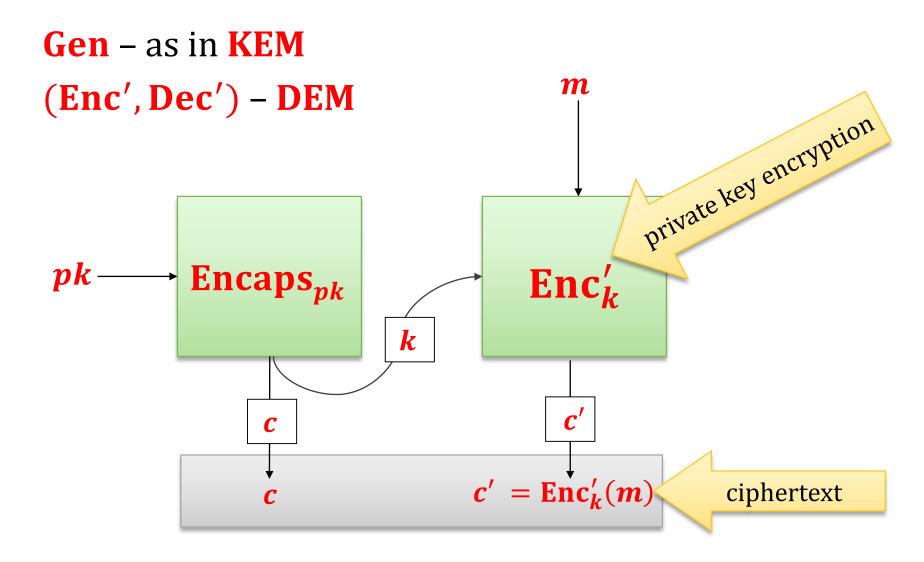
DEM – Data Encapsulation Mechanism

= private key encryption

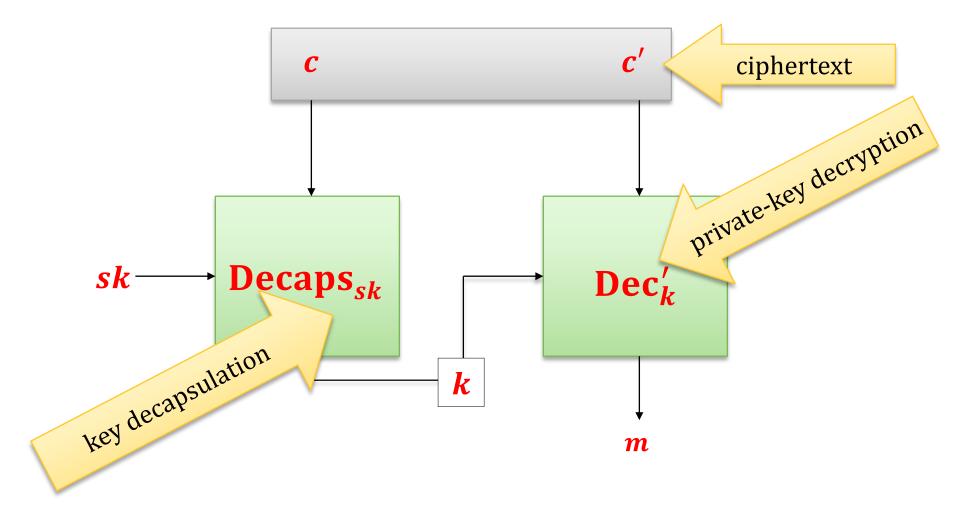
- **KEM Key Encapsulation Mechanism** consists of the following algorithms:
 - key generation algorithm Gen as in PKE,
 - encapsulation algorithm Encaps,
 - decapsulation algorithm Decaps.



How to encrypt?



How to decrypt?



One method to implement **KEM**

Take a **public-key encryption** scheme (Gen, Enc, Dec).

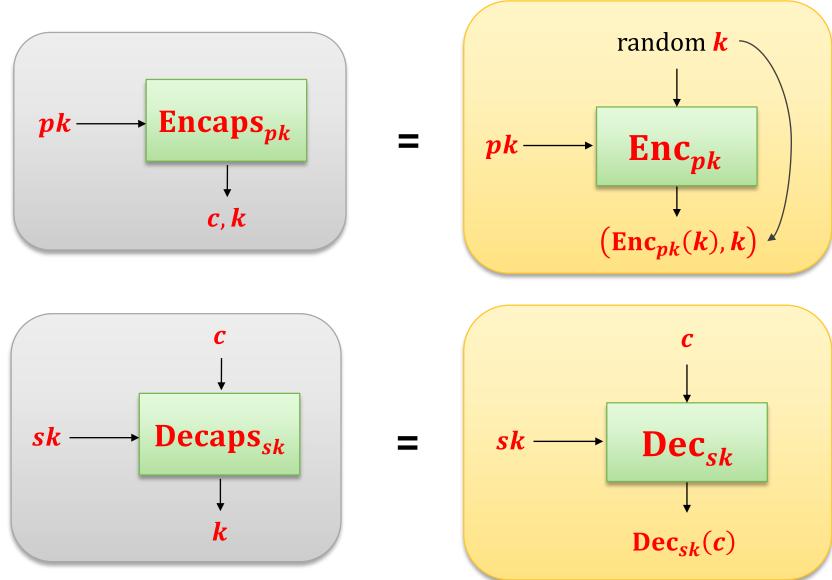
Define **KEM** as follows:

- **Gen** is the same
- Encaps_{pk} = generate a random symmetric key k and output

 $\left(\operatorname{Enc}_{pk}(k),k\right)$

Decaps_{sk} = on input *c* output Dec_{sk}(*c*)

Pictorially:



Note

In this case **KEM/DEM** method is simply equal to the **hybrid encryption**.

However: there exist other, direct methods for key encapsulation.

(they are more efficient)

Consequences of these approaches

For longer messages the cost of encryption is **dominated by the cost of symmetric operations**.

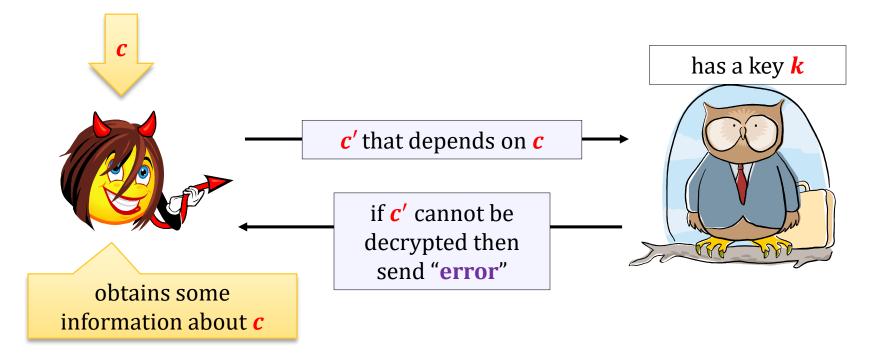
Hence: the public-key operations (amortized over the length of the messages) are almost "for free".

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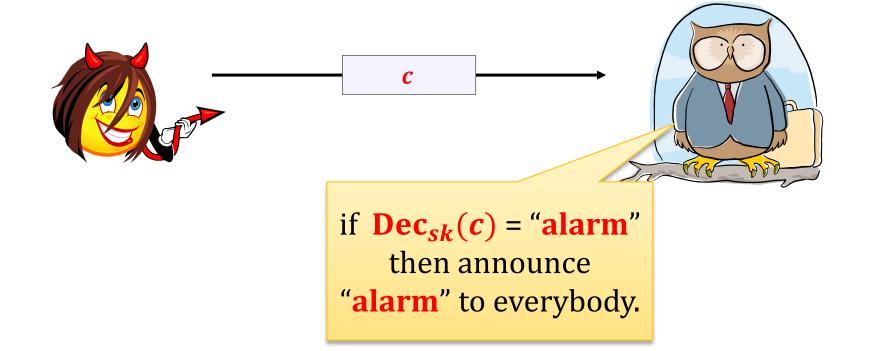
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Chosen-ciphertext attacks – motivation

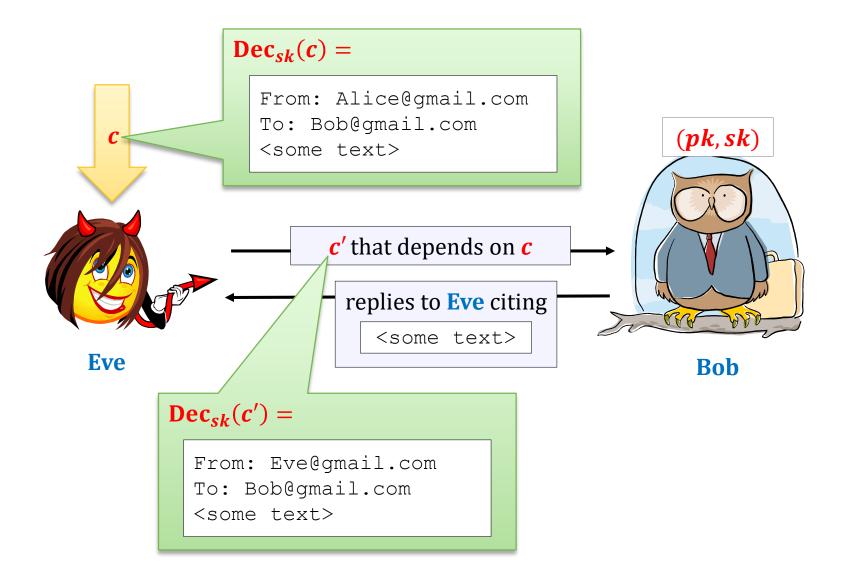
Remember the attack on the **symmetric** encryption based on the **error messages from the decryption oracle**?



Another scenario



A more advanced example



Note

CPA security does not imply that such attacks are impossible.

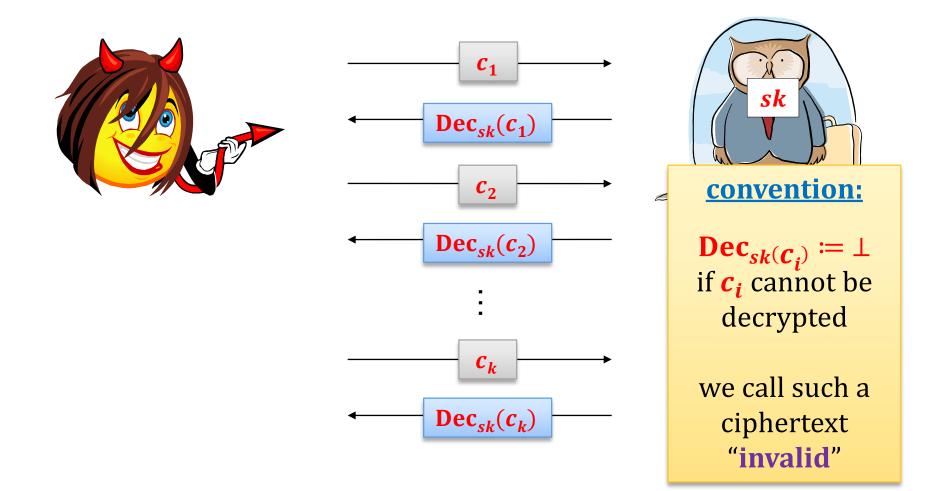
We need a **stronger** security definition.

This will be called: chosen-ciphertext security (CCA)

It can be defined both for the **symmetric** and **asymmetric** case.

Decryption oracle

To define the CCA-security we consider a **<u>decryption</u>** oracle.

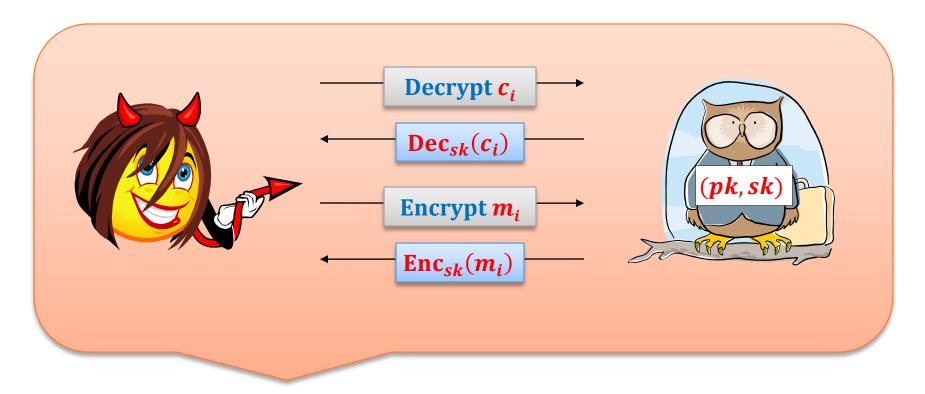


Decryption/encryption oracle

We assume that **also CPA** is allowed. *\leq*

Two types of queries:

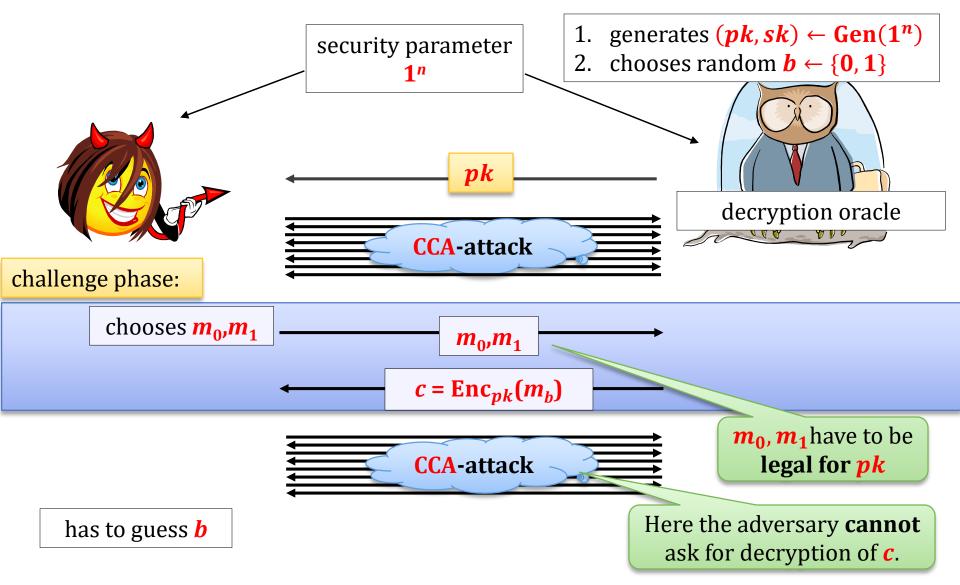
this will be used in the symmetric case



this is called a **CCA-attack**

CCA-security– the game in the symmetric case 1. generates $k \leftarrow \text{Gen}(1^n)$ security parameter 2. chooses random $b \leftarrow \{0, 1\}$ **1**^{*n*} **CCA**-attack decryption/encryption oracle challenge phase: chooses m_0, m_1 m_{0}, m_{1} $c = \operatorname{Enc}_k(m_h)$ **CCA**-attack Here the adversary **cannot** has to guess **b** ask for decryption of *c*.

CCA-security– the game in the **asymmetric** case



CCA security

Alternative name: CCA-secure

Security definition (in the **asymmetric** case):

In the **symmetric** case: **(Enc, Dec)**

We say that (Gen, Enc, Dec) has indistinguishable encryptions under a chosen-ciphertext attack (CCA) if any

randomized polynomial time adversary

guesses **b** correctly

with probability at most $1/2 + \varepsilon(n)$, where ε is negligible.

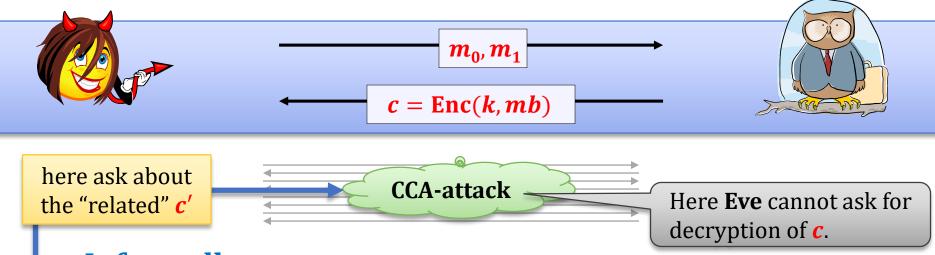
Easy to see

CCA-security implies **CPA security**

(because the adversary in the "**CCA game**" is at least as powerful as the one in the "**CPA game**")

What about the implication in the other direction?

CPA-security does **not** imply the CCA-security



Informally:

To win the game it is enough that Eve is computes some c' such that $\text{Dec}_k(c')$ is "*related to*" $\text{Dec}_k(c)$. (Why? Because then she is allowed to ask for it.)

For example: it is possible for any stream cipher!

if $\mathbf{c}' = \mathbf{c}' \oplus (\mathbf{1}, \dots, \mathbf{1})$ then $\operatorname{Dec}_{\mathbf{k}}(\mathbf{c}') = \operatorname{Dec}_{\mathbf{k}}(\mathbf{c}) \oplus (\mathbf{1}, \dots, \mathbf{1})$

How to construct CCA-secure schemes?

- in the **symmetric case**: **easy**
- in the **asymmetric case**: usually **harder** (also: in this case the "CCA attacks" are more realistic).

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Symmetric case

Simplest method: **authenticate** every ciphertext with a MAC.

Ingredients:

- (Enc, Dec) a <u>CPA</u>-secure symmetric encryption scheme
- (Tag, Vrfy) a message authentication code that is strongly secure.

A MAC is **strongly secure** if the adversary cannot produce a valid tag t' on a message m even if he saw a valid pair (m, t) (where $t \neq t$)

The method from previous lectures

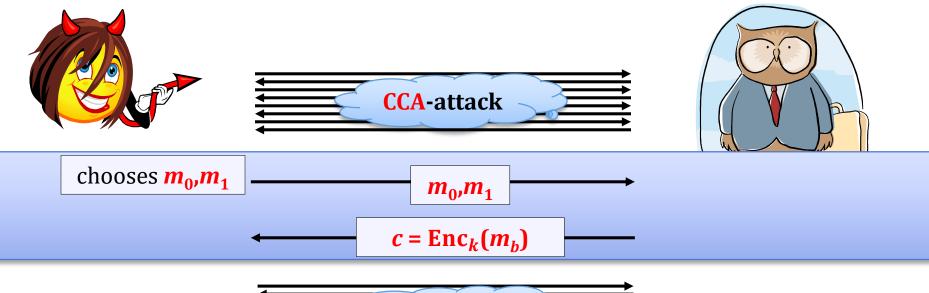
encrypt-then-authenticate:

key: a pair (**k**₁, **k**₂)

- to encrypt *m* compute $c \coloneqq \operatorname{Enc}_{k_1}(m)$ and $t \coloneqq \operatorname{Tag}_{k_2}(c)$, and output (c, t)
- to **decrypt** (*c*, *t*):

if $\operatorname{Vrfy}_{k_2}(c, t) = \operatorname{no}$ then output \bot otherwise output $\operatorname{Dec}_{k_1}(c)$

Why is this secure?





The adversary cannot "produce himself" a valid ciphertext.

The only decryption queries **Decrypt** c' on which he doesn't get \bot are such that he received c' from the oracle before.

But he already knows the decryptions of such *c*'s.

So: the CCA attack does not help him!

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- 6. Constructions of **CCA-secure** symmetric encryption
- 7. Constructions of CCA-secure RSA
 - encryption schemes

PKCS #1 v.2 is not CCA-secre

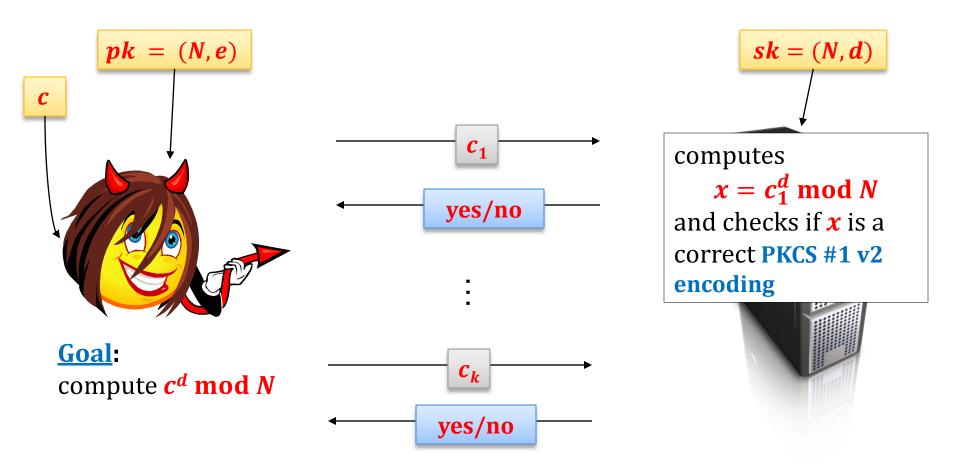
Bleichenbacher [1998] showed a "practical" chosen ciphertext attack on encoding proposed for the PKCS #1 v.2 standard.

[see also: Bleichenbacher, D., Kaliski B., Staddon J., "Recent results on PKCS #1: RSA encryption standard", *RSA Laboratories' bulletin #7,* <u>ftp://ftp.rsasecurity.com/pub/pdfs/bulletn7.pdf</u>]

Why is Blaichenbacher's attack practical?

Because it assumes that the adversary can get only one bit of information about the plaintext...

Bleichenbacher's attack – the scenario



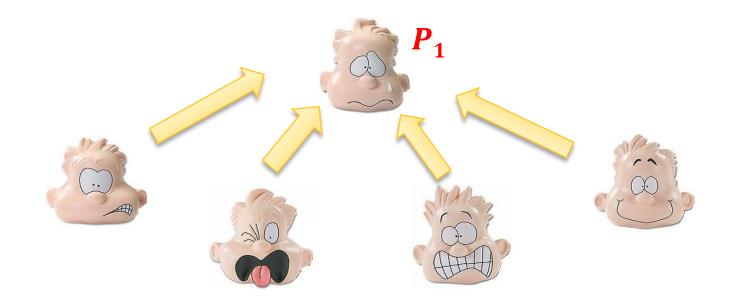
Bleichenbacher [1998]:

There exists a successful attack that requires $k = 2^{20}$ questions for |N| = 1024.

How to construct CCA-secure encryption scheme from RSA?

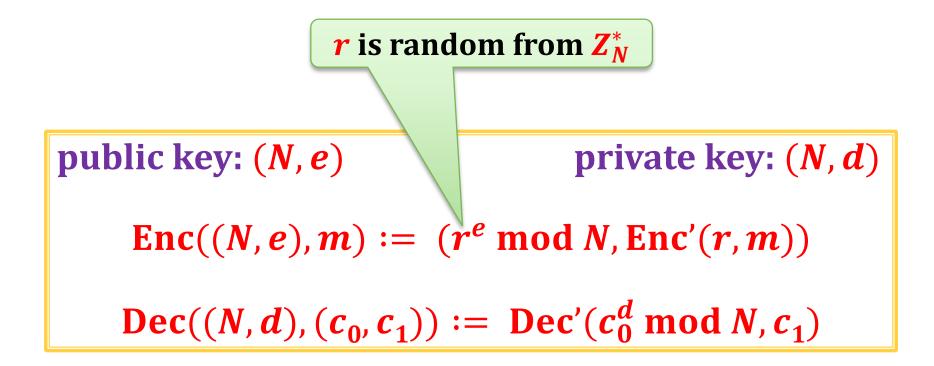
Observation: MACs don't help (at least directly).

Because in the asymmetric case the parties don't share a key for a MAC.



First attempt

Idea: take a symmetric-key CCA-secure scheme (Enc', Dec') and use it in the KEM/DEM method.



Problem

 $\operatorname{Enc}((N, e), m) := (r^e \mod N, \operatorname{Enc}'(r, m))$

N is normally much larger than the length of a key for symmetric encryption.
Typically *N* = 1024 and length of the symmetric key is 128.

First idea: **truncate**.

But is it secure?

It may be the case that

- **RSA** is hard to invert, but
- **128** first bits are easy to compute...

Idea: instead of truncating – hash!

t – length of the symmetric key $H: \{0, 1\}^* \rightarrow \{0, 1\}^t$ – a hash function

 $\operatorname{Enc}((N, e), m) := (r^e \operatorname{mod} N, \operatorname{Enc}'(H(r), m))$

 $\operatorname{Dec}((N,d),(c_0,c_1)) \coloneqq \operatorname{Dec}'(H(c_0^d \mod N),c_1)$

But can we prove anything about it?

depends...

Which properties should *H* have?

If we just assume that **H** is collision-resistant we cannot prove anything...

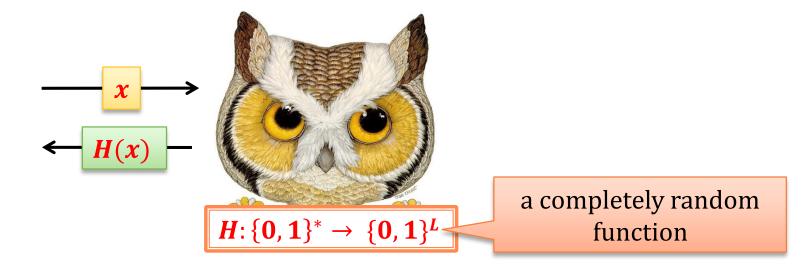
We have to assume that *H* "outputs **random values** on different inputs".

This can be formalized by modeling *H* as **random oracle**.

Remember the **Random Oracle Model**?

Random oracle model

hash functions ≈ **random oracle**s



Security proof – the intuition

H – a hash function $\operatorname{Enc}((N, e), m) := (r^e \mod N, \operatorname{Enc'}(H(r), m))$

Why is this scheme secure in the **random oracle model**?

Because, as long as the adversary did not query the oracle on r, the value of H(r) is completely random.

To learn **r** the adversary would need to compute it from **r**^e **mod N**, so he would need to invert **RSA**.

So (with a very high probability) from the point of view of the adversary H(r) is random.

Therefore the **CCA-security** of **(Enc, Dec)** follows from the **CCA-security** of **(Enc', Dec')**.

A drawback of this method

 $\operatorname{Enc}((N, e), m) := (r^e \operatorname{mod} N, \operatorname{Enc}'(H(r), m))$

The ciphertext is longer than **N** even if the message is short.

Therefore in practice another method is used:

Optimal Asymmetric Encryption Padding (OAEP).

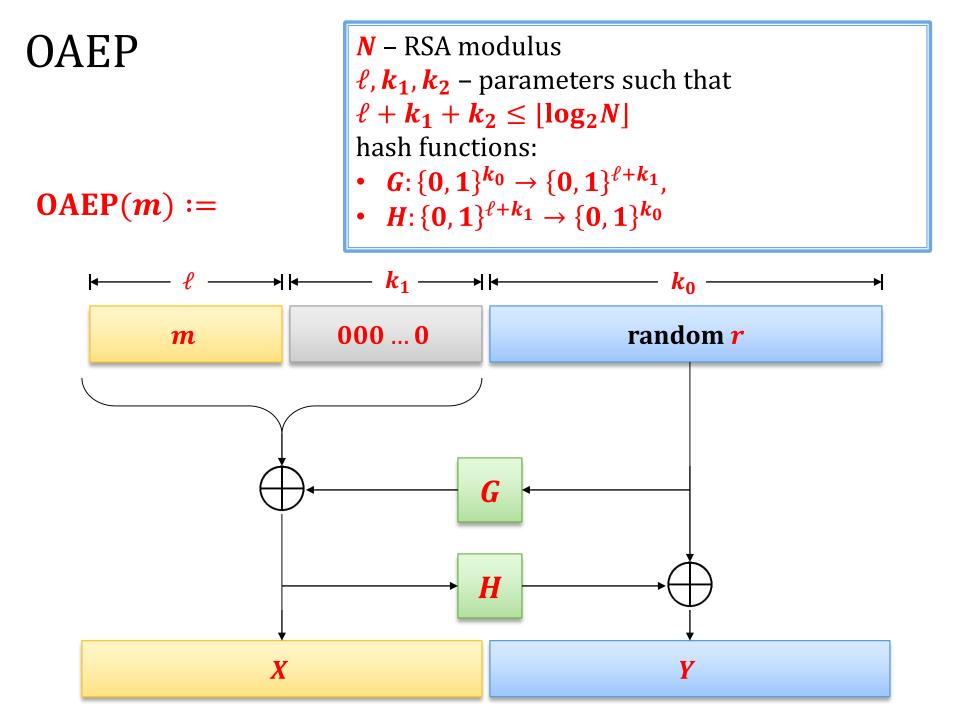
Optimal Asymmetric Encryption Padding (OAEP) – the history

• Introduced in:

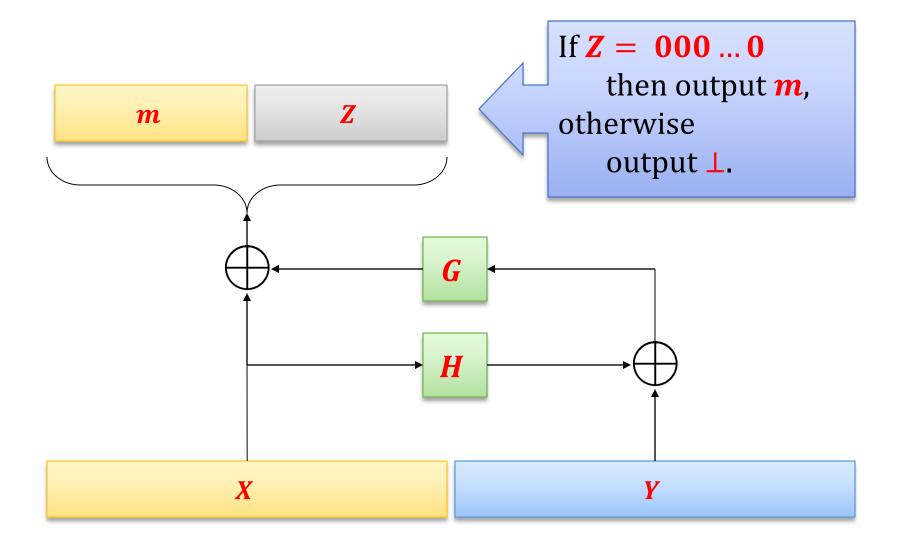
[M. Bellare, P. Rogaway. *Optimal Asymmetric Encryption* -- *How to encrypt with RSA.* Eurocrypt '94]

- An error in the security proof was spoted in [V. Shup. *OAEP Reconsidered.* Crypto '01]
- This error was repaired in [E. Fujisaki, T. Okamoto, D. Pointcheval, and J. Stern. *RSA-OAEP is secure under the RSA assumption*. Crypto '01]

It is now a part of a **PKCS#1 v. 2.0** standard.



How to invert?



RSA-OAEP

key pair like in the handbook RSA: private key: (N, d) public key: (N, e)

Enc $((N, e), m) \coloneqq (OAEP(m))^e \mod N$ Dec $((N, e), c) \coloneqq \operatorname{let} x \coloneqq c^d \mod N$ if $x > 2^{\ell + k_1 + k_2}$ then output \bot otherwise output $OAEP^{-1}(x)$

Security of RSA-OAEP

Security of **RSA-OAEP** can be proven

- if one models *H* and *G* as random oracles
- assuming the **RSA assumption** holds.

We do not present the proof here.

We just mention some **nice properties** of this encoding.

Nice properties of OAEP (for the right choice of parameters)

• it is **invertible**

but to invert you need to know
(X, Y) completely

good for the **CPA- security**

good for the **CCA**security • for every message *m* the encoding **OAEP**(*m*)

is uniformly **random**

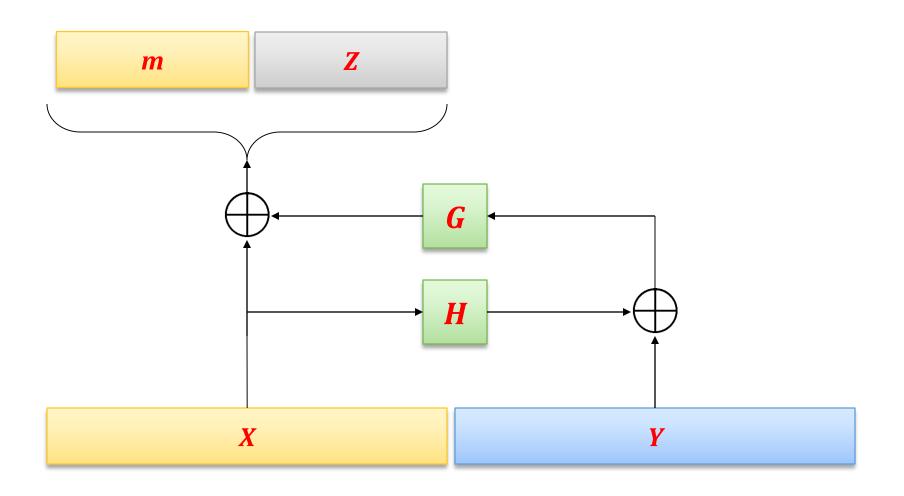
• It is hard to produce a valid (*X*, *Y*) "without knowing *m* first" **OAEP** is hard to invert if you don't know **X** and **Y** completely.

Actually: *m* is completely hidden in such a case.

(assuming **G** and **H** are random oracles)

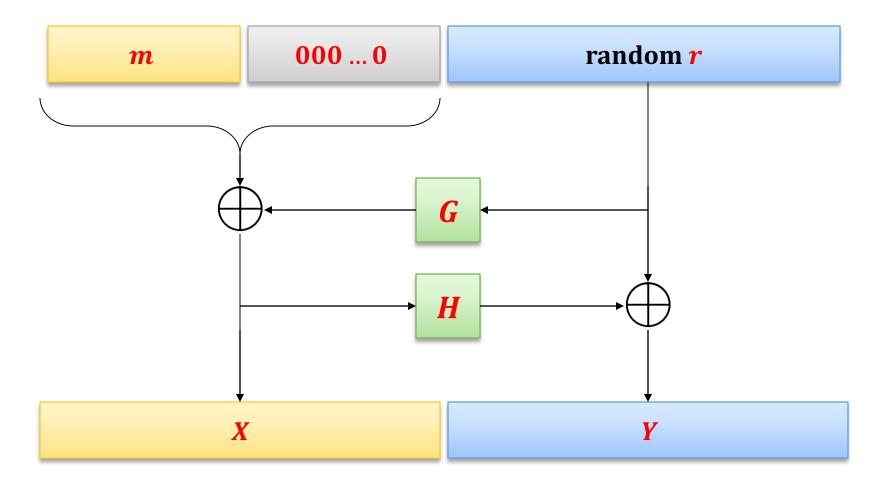


Look at the picture:



The encoding **OAEP**(*m*) is uniformly **random**

Again look at the picture:



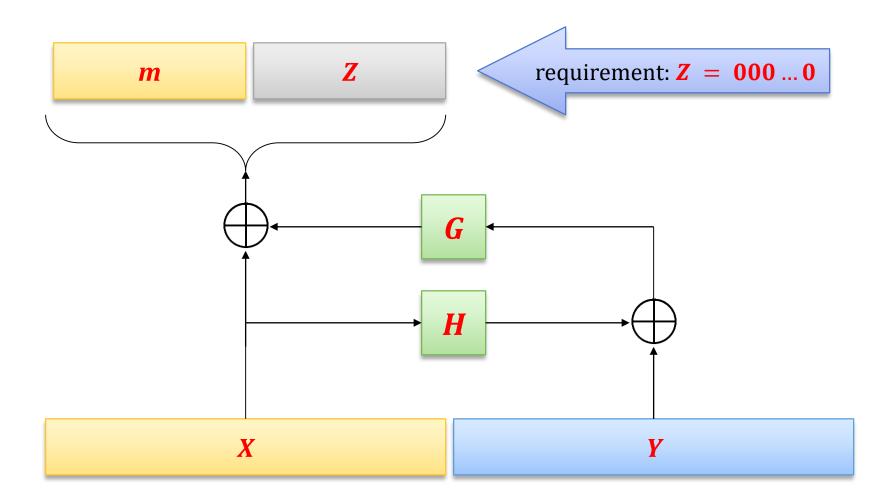
Why are these two properties useful for **CPA-security**?

The adversary obtains $\operatorname{Enc}_{pk}(m_b) = x^e \mod N$ where $x = \operatorname{OAEP}(m_b)$.

In order to get **any information about** m_b needs to compute the **entire value of** x, where x is uniformly random.

Hardness of this problem is equivalent to the **RSA** assumption.

It is hard to produce a valid (*X*, *Y*) "without knowing *m* first"



This last property is useful for CCA-security

Why?

Informally:

Eve can produce valid ciphertexts only of those messages that she knows...

The only way to produce a valid ciphertext is to do the following:

- choose *m*
- compute $c \coloneqq (OAEP(m))^e \mod N$.

Note

In "handbook RSA" this is not the case since every $c \in \mathbb{Z}_N^*$ is a valid ciphertext.

Also in the **PKCS #1: RSA Encryption Standard Version 1.5** standard the probability of producing a valid ciphertext is noticeable.

An interesting attack on OAEP

J. Manger: A Chosen Ciphertext Attack on RSA Optimal Asymmetric Encryption Padding (OAEP) as Standardized in PKCS #1 v2.0. CRYPTO 2001

Based on the following fact:

the decryption algorithm outputs \perp in two cases:

- 1. " $x > 2^{\ell + k_1 + k_2}$ ",
- 2. or $Z \neq 000 \dots 0$.

The attack exploits the fact that in the **PKCS #1 v2.0** standard the **error messages in these two cases were different**.

Moral: implementation details matter!

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